

## UTILITY

PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

2314-280

First Inventor

Baldomero M. OLIVERA

Title

MU-CONOPEPTIDES

17302 U.S. PTO  
10/828478

042104

☒ Applicant for Published Patent Application:The University of Utah Research Foundation  
Salt Lake City, Utah; and  
Cognetix, Inc., Salt Lake City, Utah

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner of Patents  
Box Patent Application  
Washington, D.C. 20231

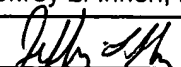
1. ☒ Fee Transmittal Form (fees chg'd to Deposit Acct.)  
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
3. ☒ Specification Total pages [97]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross references to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
4. ☐ Drawing(s) (35 USC 113) (Total Sheets) [ ]
5. ☒ Oath or Declaration (Total Pages) [19]
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application  
(37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)
- [Note Box 5 below]**
- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,
6. ☒ Application Data Sheet.

7. ☐ CD-ROM or CD-R in duplicate, large table  
or Computer Program
8. Nucleotide and/or Amino Acid Sequence Submission
- a. ☒ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☒ paper (132 pages)
- c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement  
(when there is an assignee)
- ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement /PTO 1449
- ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s).
16. ☐ Request and Certification under 35 U.S.C.  
122(b)(2)(B)(i).
17. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below or in an  
application Data Sheet under 37 CFR 1.76:☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/910,009Prior application information: Examiner Gabriele E. Bugaisky Group/Art Unit 1653For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied  
under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by  
reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.☒ Customer Number or Bar Code Label **6449**

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Country	U.S.A.	Telephone	202-783-6040	Fax	202-783-6031
Name	Jeffrey L. Ihnen, Reg. No. 28,957				
Signature				Date <u>21 April 2004</u>	

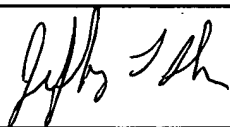
<b>IN THE UNITED STATES PATENT AND TRADEMARK OFFICE</b>	<i>Application Number</i>	New Application
	<i>Filing Date</i>	Herewith
	<i>First Named Inventor</i>	Baldomero M. OLIVERA
	<i>Group Art Unit</i>	To Be Assigned
	<i>Examiner Name</i>	To Be Assigned
	<i>Attorney Docket No.</i>	2314-280
<i>Title of the Invention:</i> <b>MU-CONOPEPTIDES</b>		

### STATEMENT PURSUANT TO 37 CFR 1.821(f)

Director of the United States Patent  
and Trademark Office  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

In the matter of the above-identified application, Applicants submit herewith a computer disk containing the sequences of the Sequence Listing filed concurrently herewith. It is hereby certified that the content of the paper and computer copies of said Sequence Listing are identical.

<b>RESPECTFULLY SUBMITTED,</b>					
NAME AND REG. NUMBER	Jeffrey L. Ihnen, Reg. No. 28,957				
SIGNATURE				DATE	21 April 2004
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